



**MARTIN DAIGNEAULT**

*Senior Vice-President, Ernst & Young*

Martin Daigneault is an experienced advisor in the field of corporate restructuring, re-engineering and insolvency. He has acted in multiple roles in reorganizations, such as an advisor to debtors, banks and lending syndicates, and as a court-appointed officer. He acted as the financial advisor to the liquidator of Penson Financial Services Canada Inc. and managed the orderly liquidation of Rampart Securities. In addition, Martin has frequently acted as an advisor to securities regulators. Martin is a licensed trustee in bankruptcy, a chartered insolvency and restructuring professional, and a Chartered Accountant.



**KENNETH CAPUTO**

*General Counsel & Secretary, Securities Investor Protection Corporation (SIPC)*

Kenneth Caputo is the General Counsel, overseeing all of the legal affairs, and a corporate officer of the Corporation. Prior to becoming General Counsel he was lead counsel for SIPC in more than twenty brokerage firm liquidation proceedings, handling both litigation and appellate matters in the largest proceedings ever undertaken by SIPC. He represents SIPC before government agencies and committees on both regulatory and legislative affairs, and at the Financial and Banking Information Infrastructure Committee, the Securities Industry and Financial Markets Association (SIFMA) seminars, and at the National Conference of Bankruptcy Judges. He has also served on the Governmental Accounting Standards Board committee, and as an advisor to the Financial Services Board. Prior to joining SIPC, Ken served for three years as an Assistant District Attorney in New York. Ken has served as an instructor and speaker at various securities industry and legal programs, and as a lecturer at various universities.



**ROZANNE RESZEL**

*President and CEO, Canadian Investor Protection Fund (CIPF)*

Since joining CIPF in 1990, Rozanne Reszel was appointed to the position of President and CEO in 1998. She has 30 years of experience in the financial services sector, including senior positions with Nesbitt Thomson, the Investment Dealers Association of Canada (predecessor to the Investment Industry Regulatory Organization of Canada) as head of its member regulation department, and Deloitte Touche. Rozanne serves on the Board of the Canadian Securities Institute Research Foundation and the FCJ Refugee Centre. She holds a Master of Business Administration from the Harvard Business School and a Bachelor of Arts from the University of Waterloo. She holds the CPA, CA designation and became a Fellow (FCPA, FCA) in 2000. Rozanne also holds the Chartered Financial Analyst designation and earned the ICD.D designation from the Institute of Corporate Directors.

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