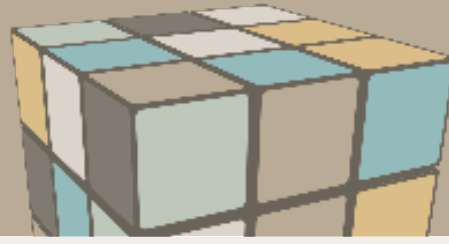
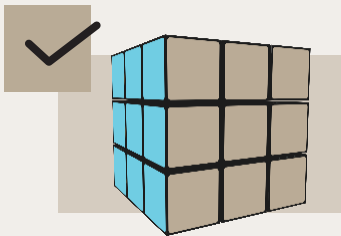


DO YOU QUALIFY FOR CIPF PROTECTION?



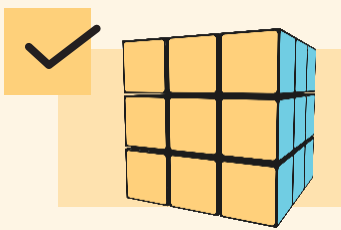
SEE IF YOU CHECK ALL 4 BOXES



YOU HAVE AN ELIGIBLE ACCOUNT WITH A MEMBER FIRM THAT IS DISCLOSED IN THE RECORDS OF THE FIRM

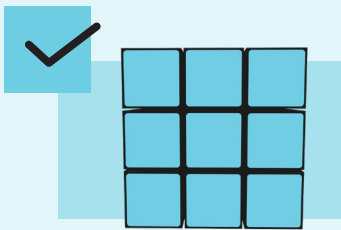
CIPF member firms are investment dealers that are members of IIROC (Investment Industry Regulatory Organization of Canada). CIPF member firms are listed on CIPF's website.

Eligible accounts are accounts used solely for the purpose of transacting securities, commodities or futures contracts. These accounts are normally shown by receipts, contracts and statements that have been issued by the member firm to you.



THE MEMBER FIRM HAS BECOME INSOLVENT

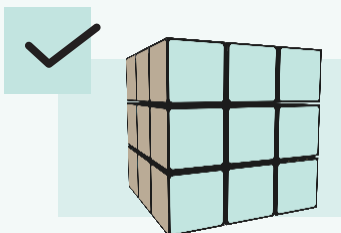
CIPF protection is triggered by the member firm's insolvency.



THE MEMBER FIRM HAS FAILED TO RETURN THE PROPERTY IT WAS HOLDING ON YOUR BEHALF AT THE DATE OF INSOLVENCY

CIPF covers missing client property. Client property includes cash and securities.

CIPF coverage is custodial in nature. CIPF does not provide protection against any other type of risk or loss.



YOU ARE AN ELIGIBLE CLIENT

An eligible client includes an individual, partnership, corporation, trust, trustee, executor, administrator or other legal representative, and unincorporated organization.

An ineligible client includes:

- Anyone who materially contributed to the insolvency of the member firm or who has the power to control the member firm
- Directors and general partners of the member firm
- Some shareholders and limited partners (with 5% or more) of the member firm
- Other IIROC member firms or firms registered with a securities regulator

CIPF Investor Series #4

This document provides simplified explanations of the CIPF Coverage Policy, which will govern exclusively in determining any claims. This document is for informational purposes only and is not intended to constitute legal or investment advice.

