STEPHEN HARBECK
Former President and CEO, Securities Investor Protection Corporation (SIPC)

Stephen Harbeck joined SIPC in 1975 as a trial and appellate attorney and then as General Counsel. He was elected as SIPC's President and Chief Executive Officer in 2003. His tenure as President and CEO was marked by the liquidations of Lehman Brothers Inc., Bernard L. Madoff Investment Securities LLC, and MF Global Inc. Stephen also served as Adjunct Professor of Bankruptcy Law at American University's Washington College of Law. He has written a number of law review articles on bankruptcy, and has lectured on brokerage firm insolvency in numerous international forums. He received his B.A. in Economics from the College of the Holy Cross, and his J.D. from Cornell University Law School. He is a member of the New York State Bar and the District of Columbia Bar. Stephen served as a Sergeant with the Reconnaissance Platoon, First Battalion, Seventh Cavalry, of the First Cavalry Division, Airmobile, in the Republic of South Vietnam, from August 1970 through May 1971.

ROZANNE RESZEL
President and CEO, Canadian Investor Protection Fund (CIPF)

Since joining CIPF in 1990, Rozanne Reszel was appointed to the position of President and CEO in 1998. She has 30 years of experience in the financial services sector, including senior positions with Nesbitt Thomson, the Investment Dealers Association of Canada (predecessor to the Investment Industry Regulatory Organization of Canada) as head of its member regulation department, and Deloitte Touche. Rozanne recently served on the Board of the Canadian Securities Institute Research Foundation and the FCJ Refugee Centre. She holds a Master of Business Administration from the Harvard Business School and a Bachelor of Arts from the University of Waterloo. She holds the CPA, CA designation and became a Fellow (FCPA, FCA) in 2000. Rozanne also holds the Chartered Financial Analyst designation and earned the ICD.D designation from the Institute of Corporate Directors.